

政治公众人物背景调查问卷 Background Check Questionnaire for PEPs

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个人信息 Personal Information	
姓名 Full Name	国籍 Nationality
客户背景调查 Customer Background Check	
政治公众人物 Politically Exposed Persons (PEPs)	
政治公众人物包括外国政要和国际组织高管,系指受托或曾受托在外国或国际组织履行重要公共职能的人员,如国家或政府的元首、 高层政要、高层政府、司法或军方人员、国营企业的高级行政人员、政党要员、国际组织的高级管理人员。定义中不包括中层或初级 人员。	
Politically Exposed Persons (PEPs) include foreign PEPs and international organisation PEPs. PEPs refer to natural persons who are or have been entrusted with prominent public functions by foreign countries or international organisations, for example, Heads of States or of governments, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials and members of senior management of international organisations. The definition of PEPs is not intended to cover middle ranking or more junior individuals in the foregoing categories.	
Question 1: Are you a PEP/ family member of a PEP/close associate of a PEP? (including close relatives such as parents, spouses and children, and other natural persons share common interests through work, life, etc.)	
口是,本人是政治公众人物。 Yes, I'm a PEP. 请注明: 1) 您所在的机构/雇主名称;2) 您的职业/职位 Please indicate: 1) the name of your organisation/employer; 2) your occupation/position.	
□ 是,本人是政治公众人物的家庭成员/与政治公众人物关系紧密人士。 Yes, I'm a family member of a PEP/close associate of a PEP. 请注明: 1) 该政治公众人物的姓名;2)他/她所在的机构/雇主名称;3) 他/她的职业/职位 Please indicate: 1) the name of the PEP; 2) the name of his/her organisation/employer; 3) his/her occupation/position.	
口否。/ No.	
问题2:请表明您用于投资的资金来源。 Question 2:Please indicate your source of funds for investment。	
□ 1. 营业利润 □ 2. 赠予/继承 □ 3.储蓄 □ Business Profits Gift/Inheritance Savings	4. 出售不动产 □ 5. 薪资 □ 6. 其他 Sale of Real Estate Salary Other
声明与承诺	
Declaration	
□本人承诺所填信息的真实性、有效性、准确性、完整性,并对其承担责任。如本人提供的身份背景信息发生变更,将及时书面通知 贵司。	
I hereby declare that the information given in this questionnaire is true, valid, accurate and complete, and I' m responsible for the information above. I shall give iFAST timely written notice of any change to the background information I provide.	
口 本人同意并确认,贵司可以根据有关法律法规则在必要时将上述信息报告给相关权利部门。 I agree and acknowledge that pursuant to relevant laws and regulations, iFAST may disclose the above information to a competent regulator or authority if required.	
 投资者签章	拍
技页有益早	